

# Error-correcting pooling designs associated with the dual space of unitary space and ratio efficiency comparison

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**Abstract** In this paper, we construct a  $d^z$ -disjunct matrix with subspaces in a dual space of Unitary space  $\mathbb{F}_{q^2}^{(n)}$ , then give its several properties. As the smaller the ratio efficiency is, the better the pooling design is. We compare the ratio efficiency of this construction with others, such as the ratio efficiency of the construction of set, the general space and the dual space of symplectic space. In addition, we find it smaller under some conditions.

**Keywords** Group testing ·  $d$ -disjunct ·  $d^z$ -disjunct · Ratio efficiency

## 1 Introduction

Pooling designs have been widely used in many DNA-related applications, such as clone-library screening (including physical mapping), counting sequencing, determination of exon boundaries in eukaryotic genes, detecting gene complex, etc.

The basic problem of group testing is to identify the set of positives (defective) in a large population of items. As it is becoming more standard to use the term positive instead of defective, we shall use the former throughout the paper. We assume some testing mechanism exists which if applied to an arbitrary subset of the population gives a negative outcome if the subset contains no positive and positive outcome

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otherwise. Objectives of group testing vary from minimizing the number of tests, limiting number of pools, limiting pool sizes to tolerating a few errors.

Group testing algorithms can roughly be divided into two categories: combinatorial group testing (CGT) and probabilistic group testing (PGT). In CGT, it is often assumed that the number of positives among  $n$  items is equal to or at most  $d$  for some given positive integer  $d$ . In PGT, we fix some probability  $p$  of having a positive. Group testing strategies can also be either adaptive or non-adaptive if all tests must be specified without knowing the outcomes of other tests. A Group testing algorithm is error-tolerant if it can detect or correct some errors in test outcomes. A mathematical model of error-tolerance designs is an  $d^z$ -disjunct matrix.

A  $(0, 1)$ -matrix is said to be  $d$ -disjunct if and only if no column is contained in the union of  $d$  others. A  $d$ -disjunct matrix with  $t$  rows and  $n$  columns corresponds precisely to a pooling design which can identify at most  $d$  positives from  $n$  items with  $t$  tests.

A  $d^z$ -disjunct matrix is a matrix where given any  $d + 1$  column  $C_0, C_1, \dots, C_d$ , the set  $C_0 \setminus \bigcup_{i=1}^d C_i$  has at least  $z$  elements. A  $d^z$ -disjunct matrix can detect  $z - 1$  errors and correct  $\lfloor (z - 1)/2 \rfloor$  errors. The error-correcting capabilities is doubled by the addition of at most  $d$  confirmatory and guaranteed tests. A  $d$ -disjunct matrix is  $d^1$ -disjunct (D'yachkov et al. 2007).

A  $(0, 1)$ -matrix has column (row) weight  $c$  if every column (row) has exactly  $c$  1's.

Macula proposed a way of constructing  $d$ -disjunct matrix which uses the containment relation in a structure (Macula 1996). Ngo and Du extended the construction to some geometric structures, such as simplicial complexes, and some graph properties, such as matching (Ngo and Du 2002). Huang and Weng gave a comprehensive treatment of construction of  $d$ -disjunct matrices by using pooling spaces, which is a significant and important addition to the general theory (Huang and Weng 2004). Geng-sheng Zhang et al. constructed a  $d^z$ -disjunct matrix by using the dual space of symplectic space (Zhang et al. 2007).

In this paper, we construct a  $d^z$ -disjunct matrix with subspaces in a dual space of Unitary Space  $\mathbb{F}_{q^2}^{(n)}$ . In Sect. 3, we will give its several properties. Given some fixed items, our goal is detecting positive items. For a pooling design, the less the number of tests is, the better the pooling design is. In Sect. 4, we will give a new definition and compare the ratio  $t/n$  with others, such as in Macula (1996), Zhang et al. (2007) and D'yachkov et al. (2005). We find it smaller under some conditions.

## 2 Preliminary

In this section we shall briefly review some concepts of geometry of unitary groups over finite fields (Wan 2002). Let  $\mathbb{F}_{q^2}$  is a finite field with  $q^2$  elements, where  $q$  is a prime or a prime power.  $\mathbb{F}_{q^2}$  has an involutive automorphism, i.e., an automorphism of order 2

$$a \mapsto \bar{a},$$

and the fixed field of this automorphism is  $F_q$ . Let

$$A = (a_{ik})_{1 \leq i \leq m, 1 \leq k \leq n}$$

be an  $m \times n$  matrix over  $\mathbb{F}_{q^2}$ . We use  $\bar{A}$  to denote the matrix obtained from  $A$  by applying the automorphism  $a \mapsto \bar{a}$  to all the  $mn$  elements of  $A$ , i.e.,

$$\bar{A} = (\bar{a}_{ik})_{1 \leq i \leq m, 1 \leq k \leq n}.$$

An  $n \times n$  matrix  $H$  over  $\mathbb{F}_{q^2}$  is said to be *Hermitian*, if  $\bar{H}^t = H$ .

We denote

$$H_0 = \begin{pmatrix} 0 & I^{(v)} \\ I^{(v)} & 0 \end{pmatrix}.$$

$$H_1 = \begin{pmatrix} 0 & I^{(v)} & \\ I^{(v)} & 0 & \\ & & 1 \end{pmatrix}.$$

In order to discuss these two cases simultaneously, we introduce the notation  $n = 2v + \delta$  and  $H_\delta$ , where  $\delta = 0$  or  $1$ .

Now let  $H$  be an  $n \times n$  nonsingular Hermitian matrix over  $\mathbb{F}_{q^2}$ . An  $n \times n$  matrix  $T$  over  $\mathbb{F}_{q^2}$  is called a unitary matrix with respect to  $H$  if

$$THT^t = H.$$

Clearly,  $n \times n$  unitary matrices with respect to a nonsingular Hermitian matrix  $H$  are nonsingular and they form a group with respect to matrix multiplication, called the unitary group of degree  $n$  with respect to  $H$  over  $\mathbb{F}_{q^2}$  and denoted by  $U_n(F_{q^2}, H)$ . An  $m$ -dimensional subspace  $P$  is said to be of type  $(m, r)$  with respect to  $H$ , if  $PH\bar{P}^t$  is of rank  $2r$ . In particular, subspaces of type  $(m, 0)$  are called  $m$ -dimensional *totally isotropic subspaces* with respect to  $H$ . Subspaces of type  $(m, r)$  exist in the  $n$ -dimensional unitary space if and only if  $2r \leq 2m \leq n + r$ .

Two vectors  $x$  and  $y$  of  $F_{q^2}^{(n)}$  are said to be *orthogonal* (with respect to  $H$ ), if  $xHy^t = 0$ . Let  $P$  be an  $m$ -dimensional subspace of  $F_{q^2}^{(n)}$ . Denote by  $P^\perp$  the set of vectors which are orthogonal to every vector of  $P$ , i.e.,  $P^\perp = \{y \in F_{q^2}^{(n)} \mid yH\bar{x}^t = 0 \text{ for all } x \in P\}$ . Obviously,  $P^\perp$  is a  $(n - m)$ -dimensional subspace of  $F_{q^2}^{(n)}$  and is called the *dual subspace* of  $P$  with respect to  $H$ . A subspace  $P$  is *totally isotropic* if and only if  $P \subseteq P^\perp$ . The dual subspace of a subspace of type  $(m, r)$  is of type  $(n - m, n - 2m + r)$ .

$(m, s)$ -space denotes a subspace of type  $(m, s)$ . Let  $0 \leq m \leq [\frac{n}{2}]$ , then the number of  $m$ -dimensional *totally isotropic* subspaces in the  $n$ -dimensional unitary space over  $F_{q^2}$  is

$$N(m, 0; n) = \frac{\prod_{i=n-2m+1}^n (q^i - (-1)^i)}{\prod_{i=1}^m (q^{2i} - 1)}.$$

Specially,

$$N(r - m_0, 0; 2(v - m_0) + \delta) = [r - m_0]_\delta = \frac{\prod_{i=2v-2r+1+\delta}^{2v-2m_0} (q^i - (-1)^i)}{\prod_{i=1}^{r-m_0} (q^{2i} - 1)},$$

$$N(m - m_0, 0; 2(v - m_0) + \delta) = [m - m_0]_\delta = \frac{\prod_{i=2v-2m+1+\delta}^{2v-2m_0} (q^i - (-1)^i)}{\prod_{i=1}^{m-m_0} (q^{2i} - 1)}.$$

$N(m_1, r_1; m, r; n)$  denote the number of  $(m_1, r_1)$ -spaces contained in a  $(m, r)$ -space in  $\mathbb{F}_{q^2}^{(n)}$ .  $N'(m_1, r_1; m, r; n)$  denote the number of  $(m, r)$ -spaces containing a fixed  $(m_1, r_1)$ -space in  $\mathbb{F}_{q^2}^{(n)}$ .

**Lemma 2.1** *Let*

$$2r \leq 2m \leq n + r$$

and

$$\max\left\{0, \frac{2m_1 - r - r_1}{2}\right\} \leq \min\{m - r, m - 1 - r_1\}.$$

Then

$$N(m_1, r_1; m, r; n) = \sum_{k=\max\{0, \lfloor \frac{2m_1-r-r_1+1}{2} \rfloor\}}^{\min\{m-r, m_1-r_1\}} q^{r_1(r+r_1-2m_1+2k)+2(m-1-k)(m-r-k)}$$

$$\times \frac{\prod_{i=r+r_1-2m_1+2k+1}^r (q^i - (-1)^i) \prod_{i=m-r-k+1}^{m-r} (q^{2i} - 1)}{\prod_{i=1}^{r_1} (q^i - (-1)^i) \prod_{i=1}^{m_1-r_1-k} (q^{2i} - 1) \prod_{i=1}^k (q^{2i} - 1)}.$$

**Lemma 2.2** *Assume that*

$$\left. \begin{aligned} 2r &\leq 2m \leq n + r, \\ 2r_1 &\leq 2m - 1 \leq n + r_1, \\ 0 &\leq r - r_1 \leq 2m - 2r_1, \end{aligned} \right\}$$

holds.

$$N'(m_1, r_1; m, r; n) = \sum_{k=\max\{0, \lfloor \frac{2m_1-r-r_1+1}{2} \rfloor\}}^{\min\{m-r, m_1-r_1\}} q^{(n-2m+r)(r_1+r-2m_1+2k)+2(n-m-k)(m_1-r_1-k)}$$

$$\times \frac{\prod_{i=r+r_1-2m_1+2k+1}^{n-2m_1+r_1} (q^i - (-1)^i) \prod_{i=m_1-r_1-k+1}^{m_1-r_1} (q^{2i} - 1)}{\prod_{i=1}^{n-2m+r} (q^i - (-1)^i) \prod_{i=1}^{m-r-k} (q^{2i} - 1) \prod_{i=1}^k (q^{2i} - 1)}.$$

Set  $\begin{bmatrix} m-m_0 \\ r-m_0 \end{bmatrix}_\delta$  be the number of  $(r, 0)$ -spaces contained in a  $(m, 0)$ -space and  $\begin{bmatrix} m-m_0 \\ r-m_0 \end{bmatrix}_\delta^*$  be the number of  $(m, 0)$ -spaces containing a fixed  $(r, 0)$ -space in  $\mathbb{F}_{q^2}^{2(v-m_0)+\delta}$ .

$$\begin{aligned} \begin{bmatrix} m-m_0 \\ r-m_0 \end{bmatrix}_\delta &= \frac{\prod_{i=m-r-1}^{m-m_0} (q^{2i}-1)}{\prod_{i=1}^{r-m_0} (q^{2i}-1)}, \\ \begin{bmatrix} m-m_0 \\ r-m_0 \end{bmatrix}_\delta^* &= \frac{\prod_{i=2v-2r+1}^{2v-2m+1+\delta} (q^i-(-1)^i)}{\prod_{i=1}^{m-r} (q^{2i}-1)}. \end{aligned}$$

We adopt the convention that

$$\prod_{i \in M} f(i) = 1,$$

where  $M$  denotes the empty set.

### 3 The construction

**Definition 3.1** Select integers  $0 \leq m_0 < r < m \leq v$ . Assume  $P_0$  is a fixed  $(m_0, 0)$ -space of  $\mathbb{F}_{q^2}^{(n)}$ . Let  $M$  be the  $(0, 1)$ -matrix by taking all  $(m, 0)$ -spaces which are contained in  $P_0^\perp$  and contain  $P_0$  as columns and all  $(r, 0)$ -spaces which are contained in  $P_0^\perp$  and contain  $P_0$  as rows.  $M$  has a 1 in row  $i$  and column  $j$  if and only if  $i$  is contained in  $j$ .

We can show that  $M$  is a  $d^z$ -disjunct matrix with certain constant weights.

**Theorem 3.2**  $M$  is a  $\begin{bmatrix} r-m_0 \\ r-m_0 \end{bmatrix}_\delta \times \begin{bmatrix} m-m_0 \\ r-m_0 \end{bmatrix}_\delta$  matrix, whose constant row weight (column) is  $\begin{bmatrix} m-m_0 \\ r-m_0 \end{bmatrix}_\delta$  ( $\begin{bmatrix} m-m_0 \\ r-m_0 \end{bmatrix}_\delta^*$ ).

*Proof* By the transitivity of  $U_n(F_{q^2}, H)$  on the set of subspaces of the same type we can assume that  $P_0$  and  $P_0^\perp$  have matrix representations of the forms

$$\begin{aligned} P_0 &= \begin{pmatrix} I^{(m_0)} & 0 & 0 & 0 \\ m_0 & v-m_0 & m_0 & v-m_0+\delta \end{pmatrix}, \\ P_0^\perp &= \begin{pmatrix} I^{(m_0)} & 0 & 0 & 0 \\ 0 & I^{(v-m_0)} & 0 & 0 \\ 0 & 0 & 0 & I^{(v-m_0+\delta)} \\ m_0 & v-m_0 & m_0 & v-m_0+\delta \end{pmatrix} \end{aligned}$$

Let  $R$  be a  $(r, 0)$ -space contained in  $P_0^\perp$  and containing  $P_0$ . Then

$$R = \begin{pmatrix} I^{(m_0)} & 0 & 0 & 0 & 0 \\ 0 & R_1 & 0 & R_2 & R_3 \\ m_0 & v-m_0 & m_0 & v-m_0 & \delta \end{pmatrix} \begin{matrix} m_0 \\ r-m_0 \end{matrix},$$

where  $R_2 \overline{R_1}^t + R_1 \overline{R_2}^t + R_3 \overline{R_3}^t = 0$ .

It can be easily verified that  $(R_1 R_2)$  is a  $(r - m_0, 0)$ -space in  $\mathbb{F}_q^{2(v-m_0)+\delta}$ . Hence the number of rows in  $M$  is  $N(r - m_0, 0; 2(v - m_0) + \delta) = [r - m_0]_\delta$ .

Let  $Q$  be a  $(m, 0)$ -space contained in  $P_0^\perp$  and containing  $P_0$ . So

$$Q = \begin{pmatrix} I^{(m_0)} & 0 & 0 & 0 & 0 \\ 0 & Q_1 & 0 & Q_2 & Q_3 \\ m_0 & v - m_0 & m_0 & v - m_0 & \delta \end{pmatrix} \begin{matrix} m_0 \\ r - m_0 \end{matrix}$$

where  $Q_2 \overline{Q_1}^t + Q_1 \overline{Q_2}^t + Q_3 \overline{Q_3}^t = 0$ , where  $(Q_1 Q_2 Q_3)$  is a  $(m - m_0, 0)$ -space in  $\mathbb{F}_{q^2}^{2(v-m_0)+\delta}$ . Hence the number of columns in  $M$  is  $N(m - m_0, 0; 2(v - m_0) + \delta) = [m - m_0]_\delta$ .

The row weight is the number of  $(m - m_0, 0)$ -spaces containing a fixed  $(r - m_0, 0)$ -space in  $\mathbb{F}_{q^2}^{2(v-m_0)+\delta}$ . Hence it is

$$\begin{aligned} N'(r - m_0, 0; m - m_0, 0; 2(v - m_0) + \delta) &= \frac{\prod_{i=2v-2r+1}^{2v-2m+1+\delta} (q^i - (-1)^i)}{\prod_{i=1}^{m-r} (q^{2i} - 1)} \\ &= \begin{bmatrix} m - m_0 \\ r - m_0 \end{bmatrix}_\delta^* \end{aligned}$$

The column weight is the number of  $(r, 0)$ -spaces containing  $P_0$  and contained in a  $(m, 0)$ -space, namely the number of  $(R_1 R_2)$  contained in a  $(Q_1 Q_2)$ . Hence it is

$$N(r - m_0, 0; m - m_0, 0; 2(v - m_0) + \delta) = \frac{\prod_{i=m-r-1}^{m-m_0} (q^{2i} - 1)}{\prod_{i=1}^{r-m_0} (q^{2i} - 1)} = \begin{bmatrix} m - m_0 \\ r - m_0 \end{bmatrix}_\delta \quad \square$$

**Theorem 3.3** Suppose  $m - r \geq 2$  and set  $b = \frac{(q^{2(m-m_0-1)}-1)(q^{2(m-m_0)}-q^{2(m-r-2)})}{(q^{2(m-r-2)}-1)(q^{2(m-m_0-1)}-1)-1}$ . Then  $M$  is  $d^z$ -disjunct for  $1 \leq d \leq b$  and

$$z = \begin{bmatrix} m - m_0 \\ r - m_0 \end{bmatrix}_\delta - d \begin{bmatrix} m - m_0 - 1 \\ r - m_0 \end{bmatrix}_\delta + (d - 1) \begin{bmatrix} m - m_0 - 2 \\ r - m_0 \end{bmatrix}_\delta.$$

*Proof* Let  $C_0, C_1, \dots, C_d$  be  $d + 1$  distinct columns  $((m, 0)$ -spaces contained in  $P_0^\perp$  and containing  $P_0$ ) of  $M$ . There are  $\begin{bmatrix} m-m_0 \\ r-m_0 \end{bmatrix}_\delta$   $(r, 0)$ -spaces containing  $P_0$  in  $C_0$ . Let  $|C_0 \setminus \bigcup_{i=1}^d C_i|$  be the number of  $(r, 0)$ -spaces containing  $P_0$  and contained in  $C_0$  but not contained in  $C_i$  ( $1 \leq i \leq d$ ). Noticing that  $|C_0 \setminus \bigcup_{i=1}^d C_i| = |C_0 \setminus \bigcup_{i=1}^d (C_0 \cap C_i)|$ , to obtain the minimum of  $|C_0 \setminus \bigcup_{i=1}^d C_i|$ , we may assume that each  $C_i$  intersects  $C_0$  at a  $(m - 1, 0)$ -space containing  $P_0$ . Then each  $C_i$  covers  $\begin{bmatrix} m-m_0-1 \\ r-m_0 \end{bmatrix}_\delta$   $(r, 0)$ -spaces containing  $P_0$  of  $C_0$ . However, the coverage of each pair of  $C_i$  and  $C_j$  overlaps at a  $(m - 2, 0)$ -space containing  $P_0$ . Therefore only  $C_1$  covers the full  $\begin{bmatrix} m-m_0-1 \\ r-m_0 \end{bmatrix}_\delta$   $(r, 0)$ -spaces, while each of  $C_2, \dots, C_d$  can cover a maximum of  $(\begin{bmatrix} m-m_0-1 \\ r-m_0 \end{bmatrix}_\delta - \begin{bmatrix} m-m_0-2 \\ r-m_0 \end{bmatrix}_\delta)$   $(r, 0)$ -spaces containing  $P_0$  and not covered by  $C_1$ .

Consequently the number of  $(r, 0)$ -spaces containing  $P_0$  and contained in  $C_0$  but not contained in  $C_i$  ( $1 \leq i \leq d$ ) is at least

$$z = \begin{bmatrix} m - m_0 \\ r - m_0 \end{bmatrix}_\delta - d \begin{bmatrix} m - m_0 - 1 \\ r - m_0 \end{bmatrix}_\delta + (d - 1) \begin{bmatrix} m - m_0 - 2 \\ r - m_0 \end{bmatrix}_\delta.$$

For  $M$  to be  $d^z$ -disjunct,  $z$  must be positive, which implies

$$d < \frac{(q^{2(m-m_0-1)} - 1)(q^{2(m-m_0)} - q^{2(m-r-2)})}{(q^{2(m-r-2)} - 1)(q^{2(m-m_0-1)} - 1) - 1} + 1.$$

Set  $b = \frac{(q^{2(m-m_0-1)} - 1)(q^{2(m-m_0)} - q^{2(m-r-2)})}{(q^{2(m-r-2)} - 1)(q^{2(m-m_0-1)} - 1) - 1}$ . Then  $1 \leq d \leq b$ . □

Let  $C_0$  be a column of  $M$ , namely a  $(m, 0)$ -space contained in  $P_0^\perp$  and containing  $P_0$ , and  $E$  be a fixed  $(m - 2, 0)$ -space containing  $P_0$  and contained in  $C_0$ . Let  $D$  be a  $(m - 1, 0)$ -space containing  $E$  and contained in  $C_0$ . By the transitivity of  $U_n(F_{q^2}, H)$  on the set of subspaces of the same type we can assume that

$$G = \begin{pmatrix} I^{(m_0)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & I^{(m-m_0-2)} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & D_1 & D_2 & D_3 & 0 & D_4 & D_5 & D_6 & D_7 \end{pmatrix} \begin{matrix} m_0 \\ m - m_0 - 2, \\ 1 \end{matrix}$$

$$\begin{matrix} m_0 & m - m_0 - 2 & 1 & 1 & v - m & m - 2 & 1 & 1 & v - m & \delta \end{matrix}$$

where  $(D_1, D_2, D_3, D_4, D_5, D_6, D_7)$  is  $(1, 0)$ -space in  $F_{q^2}^{2(v-m_0)+\delta}$ . Hence the number of  $(m - 1, 0)$ -spaces between  $E$  and  $C_0$  is

$$b_\delta = [(m_0 + 1) - m_0]_\delta = \frac{\prod_{i=2(v-m_0)+\delta-1}^{2(v-m_0)+\delta} (q^i - (-1)^i)}{q^2 - 1}.$$

**Corollary 3.4** Suppose  $m - r \geq 2$  and  $1 \leq d \leq b'$ ,  $b' = \min \{b, b_\delta\}$ . Then  $M$  is not  $d^{z+1}$ -disjunct, where  $b$  and  $z$  are as in Theorem 3.3.

*Proof* We will show that the minimum of  $|C_0 \setminus \bigcup_{i=1}^d C_i|$  in the proof of Theorem 3.3 can be obtained. For  $1 \leq d \leq b'$ , we choose  $d$  distinct  $(m - 1, 0)$ -spaces between  $E$  and  $C_0$ , say  $G_i$  ( $1 \leq i \leq d$ ). For each  $G_i$ , we choose a  $(m, 0)$ -space  $C_i$  such that  $C_0 \cap C_i = G_i$ . Hence each pair of  $C_i$  and  $C_j$  overlaps at the same  $(m - 2, 0)$ -space  $E$ . □

**Corollary 3.5** Suppose  $r = m_0 + 1, m = r + 3 = m_0 + 4 = v$  and  $1 \leq d \leq q^6 - 1$ . Then  $M$  is  $d^z$ -disjunct, but not  $d^{z+1}$ -disjunct, where

$$z = (q^4 - 1)(q^6 - 1)[(q^4 + 1)(q^2 + 1) - d].$$

*Proof* Setting  $r = m_0 + 1$  in the  $z$  formula of Theorem 3.3, we obtain

$$z = (q^4 - 1)(q^6 - 1)[(q^4 + 1)(q^2 + 1) - d].$$

The second statement follows directly from Corollary 3.4. □

In order to explain the pooling design plainly, we give an example to show that we can use less tests to detect more items.

*Example 3.6* Choose  $q = 3$ ,  $m_0 = 3$ ,  $r = 4$ ,  $m = 7$  and  $v = 9$ . Then  $M$  is  $728^{5358080}$ -disjunct.

When  $\delta = 0$  it has 11767941640 rows and 91278020175614232720832 columns. That is to say, approximately  $10^{10}$  pools are necessary for identifying 728 positives from  $9 \times 10^{22}$  items. Moreover, 5358080 errors can be detected and 2679039 errors can be corrected.

When  $\delta = 1$ , it has 66430 rows and 374090246621369806528 columns. That is to say, approximately 66430 pools are necessary for identifying 728 positives from  $3 \times 10^{21}$  items. Moreover, 5358080 errors can be detected and 2679039 errors can be corrected. □

The following theorem tells us how to choose  $m$  so that the test to item is minimized.

**Theorem 3.7** For  $0 \leq m \leq v - m_0$ , the sequence  $N(m - m_0, 0; 2(v - m_0) + \delta)$  is unimodal and gets its peak at  $m = \lfloor \frac{2v+2m_0}{4} \rfloor$  or  $\lceil \frac{2v+2m_0-1}{4} \rceil$ .

*Proof*

$$\frac{N(m_2 - m_0, 0; 2(v - m_0) + \delta)}{N(m_1 - m_0, 0; 2(v - m_0) + \delta)} = \frac{\prod_{i=2v-2m_2+1+\delta}^{2v-2m_1+\delta} (q^i - (-1)^i)}{\prod_{i=m_1-m_0+1}^{m_2-m_0} (q^{2i} - 1)}.$$

When  $\delta = 0$ ,

$$\frac{\prod_{i=2v-2m_2+1}^{2v-2m_1} (q^i - (-1)^i)}{\prod_{i=m_1-m_0+1}^{m_2-m_0} (q^{2i} - 1)} = \frac{q^{2v-2m_2+1} + 1}{q^{2(m_1-m_0+1)} - 1} \frac{q^{2v-2m_2+2} - 1}{q^{2(m_1-m_0+2)} - 1} \cdots \frac{q^{2v-2m_1} - 1}{q^{2(m_2-m_0)} - 1}.$$

Note that

$$\frac{q^{2v-2m_2+1} + 1}{q^{2(m_1-m_0+1)} - 1} > \frac{q^{2v-2m_2+2} - 1}{q^{2(m_1-m_0+2)} - 1} > \cdots > \frac{q^{2v-2m_1} - 1}{q^{2(m_2-m_0)} - 1}.$$

If  $\lfloor \frac{2v+2m_0}{4} \rfloor \leq m_1 \leq m_2 \leq v - m_0$ , then

$$\frac{q^{2v-2m_2+1} + 1}{q^{2(m_1-m_0+1)} - 1} < 1,$$

i.e.,

$$N(m_2 - m_0, 0; 2(v - m_0)) < N(m_1 - m_0, 0; 2(v - m_0)).$$

If  $0 \leq m_1 \leq m_2 \leq \lceil \frac{2v+m_0}{4} \rceil$ , then

$$\frac{q^{2v-2m_1} - 1}{q^{2(m_2-m_0)} - 1} > 1,$$



i.e.,

$$N(m_2 - m_0, 0; 2(v - m_0)) > N(m_1 - m_0, 0; 2(v - m_0)).$$

When  $\delta = 1$ ,

$$\frac{\prod_{i=2v-2m_2+2}^{2v-2m_1+1} (q^i - (-1)^i)}{\prod_{i=m_1-m_0+1}^{m_2-m_0} (q^{2i} - 1)} = \frac{q^{2v-2m_2+2} - 1}{q^{2(m_1-m_0+1)} - 1} \frac{q^{2v-2m_2+3} + 1}{q^{2(m_1-m_0+2)} - 1} \cdots \frac{q^{2v-2m_1+1} + 1}{q^{2(m_2-m_0)} - 1}.$$

Note that

$$\frac{q^{2v-2m_2+2} - 1}{q^{2(m_1-m_0+1)} - 1} > \frac{q^{2v-2m_2+3} + 1}{q^{2(m_1-m_0+2)} - 1} > \cdots > \frac{q^{2v-2m_1+1} + 1}{q^{2(m_2-m_0)} - 1}.$$

If  $\lceil \frac{2v+2m_0}{4} \rceil \leq m_1 \leq m_2 \leq v - m_0$ , then

$$\frac{q^{2v-2m_2+2} - 1}{q^{2(m_1-m_0+1)} - 1} < 1,$$

i.e.,

$$N(m_2 - m_0, 0; 2(v - m_0) + 1) < N(m_1 - m_0, 0; 2(v - m_0) + 1).$$

If  $0 \leq m_1 \leq m_2 \leq \lceil \frac{2v+m_0+1}{4} \rceil$ , then

$$\frac{q^{2v-2m_1} + 1}{q^{2(m_2-m_0)} - 1} > 1,$$

i.e.,

$$N(m_2 - m_0, 0; 2(v - m_0) + 1) > N(m_1 - m_0, 0; 2(v - m_0) + 1). \quad \square$$

### 4 Comparison of test efficiency

In order to explain whether a pooling design is good or not, we give a measure of the constructions. As the less the number of tests is, the better the pooling design is. So we should compare  $t$  with  $n$ , where  $t$  denotes the number of tests, i.e. the number of rows of inclusion matrix,  $n$  denotes the number of detected items, i.e. the number of columns of inclusion matrix.

In Erdős et al. (1985), P. Erdős, P. Frankl and D. Füredi give a formula that  $t(d, n) > d(1 + o(1)) \ln n$ , where  $t(d, n)$  denotes the minimum number of rows for a  $d$ -disjunct matrix with  $n$  columns. To take  $t/\ln n$  as a measure of the construction of  $d^z$ -disjunct matrix is meaningful.

**Definition 4.1** We call the ratio  $t/\ln n$  test efficiency 1, denoted by  $R(t/\ln n)$ , where  $t$  denotes the number of tests,  $n$  denotes the number of detected items.

From the definition, we know that the smaller the value of  $R(t/\ln n)$  is, the better the pooling design is. But in this paper, the number is very complex, it is very difficult to compare  $R(t/\ln n)$  among the constructions.

One of our goals is to identify most positive items with least tests. So we can take  $t/n$  as a measure of the construction of the  $d^z$ -disjunct matrix also. The smaller the ratio of  $t/n$  is, the better the construction is. Another definition is given as follows.

**Definition 4.2** We call the ratio  $t/n$  test efficiency 2, denoted by  $R(t/n)$ . Where  $t$  denotes the number of tests,  $n$  denotes the number of detected items.

In fact,  $t/\ln n$  can be converted to  $t/n$  under some conditions. It is easier to compare  $t/n$  in this paper. We compare test efficiency 2 in the following.

Now we give the comparison of test efficiency 2.

In this paper

$$R(t_\delta/n_\delta) = \frac{\prod_{i=r-m_0+1}^{m-m_0} (q^{2i} - 1)}{\prod_{i=2v-2m+1+\delta}^{2v-2r+\delta} (q^i - (-1)^i)}.$$

Zhang et al. (2007) construct a  $d^z$ -disjunct matrix with subspaces in a dual space of Symplectic Space  $\mathbb{F}_q^{(2v)}$ , where  $q$  is a prime or a prime power. Each of the columns (rows) is labelled by  $(m, 0)$ -spaces ( $(r, 0)$ -spaces) which are contained in  $P_0^\perp$  and containing  $P_0$ .  $m_{ij} = 1$  if and only if  $i$  is contained in  $j$ . The test efficiency is

$$R(t/n) = \frac{\prod_{i=r-m_0+1}^{m-m_0} (q^i - 1)}{\prod_{i=v-m+1}^{v-r} (q^{2i} - 1)}.$$

A.G. D'yachkov et al. (2005) constructed with subspaces of  $GF(q)$ , where  $q$  is a prime or a prime power. Each of the columns (rows) is labelled by a  $m(r)$ -dimensional space,  $m_{ij} = 1$  if and only if the label of row  $i$  is contained in the label of column  $j$ . In order to compare with  $R(t_\delta/n_\delta)$ , let the dimension of the space be  $2v - m_0 + \delta$ . Assume the test efficiency is  $R(t_\delta^*/n_\delta^*)$ , then

$$R(t_\delta^*/n_\delta^*) = \frac{\begin{bmatrix} 2v-m_0+\delta \\ r \end{bmatrix}_q}{\begin{bmatrix} 2v-m_0+\delta \\ m \end{bmatrix}_q} = \frac{\prod_{i=r+1}^m (q^i - 1)}{\prod_{i=2v-m_0-m+\delta+1}^{2v-m_0-r+\delta} (q^i - 1)}.$$

Macula (1996) proposed a way of constructing  $d$ -disjunct matrix which uses the containment relation in a structure. More specifically, let  $S = \{1, 2, \dots, s\}$  be the base set, Then each of the columns (rows) is labelled by a  $k(d)$  set of  $S$ , where  $d < k < s$ .  $m_{ij} = 1$  if and only if the label of row  $i$  is contained in the label of column  $j$ . In the same way, let  $S = \{1, 2, \dots, 2v - 2m_0 + \delta\}$  be the base set. Assume the test efficiency is  $R(\bar{t}_\delta/\bar{n}_\delta)$ , then

$$R(\bar{t}_\delta/\bar{n}_\delta) = \frac{\binom{2v-2m_0+\delta}{r}}{\binom{2v-2m_0+\delta}{m}} = \frac{m!}{r!(2v - 2m_0 + \delta - r) \cdots (2v - 2m_0 - m + 1)}.$$

**Theorem 4.3** If  $2v + m_0 > 2m + r - 2\delta$ , then  $R(t_\delta/n_\delta) < R(t/n)$ .

*Proof*

$$\begin{aligned} R\left(\frac{t_\delta}{n_\delta}\right) / R\left(\frac{t}{n}\right) &= \frac{\prod_{i=r-m_0+1}^{m-m_0} (q^{2i} - 1)}{\prod_{i=r-m_0+1}^{m-m_0} (q^i - 1)} \cdot \frac{\prod_{i=v-m+1}^{v-r} (q^{2i} - 1)}{\prod_{i=2v-2m+1+\delta}^{2v-2r+\delta} (q^i - (-1)^i)} \\ &= \prod_{i=r-m_0+1}^{m-m_0} (q^i + 1) \cdot \frac{\prod_{i=v-m+1}^{v-r} (q^{2i} - 1)}{\prod_{i=2v-2m+1+\delta}^{2v-2r+\delta} (q^i - (-1)^i)}. \end{aligned}$$

When  $\delta = 0$ ,

$$\begin{aligned} R\left(\frac{t_0}{n_0}\right) / R\left(\frac{t}{n}\right) &= \prod_{i=r-m_0+1}^{m-m_0} (q^i + 1) \cdot \frac{\prod_{i=v-m+1}^{v-r} (q^{2i} - 1)}{\prod_{i=2v-2m+1}^{2v-2r} (q^i - (-1)^i)} \\ &= (q^{r-m_0+1} + 1) \cdots (q^{m-m_0} + 1) \\ &\quad \times \frac{(q^{2(v-m+1)} - 1)(q^{2(v-m+2)} - 1) \cdots (q^{2(v-r)} - 1)}{(q^{2(v-m+1)} + 1)(q^{2(v-m+1)} - 1) \cdots (q^{2(v-2r)} - 1)} \\ &= \prod_{i=1}^{m-r} \frac{q^{r-m_0+i} + 1}{q^{2v-2m+2i-1} + 1}. \end{aligned}$$

When  $r - m_0 + i < 2v - 2m + 2i - 1$ ,  $\frac{q^{r-m_0+i} + 1}{q^{2v-2m+2i-1} + 1} < 1$ .

That is, when  $2v + m_0 > 2m + r$ ,  $\prod_{i=1}^{m-r} \frac{q^{r-m_0+i} + 1}{q^{2v-2m+2i-1} + 1} < 1$ , i.e.  $R(t_0/n_0) < R(t/n)$ .

When  $\delta = 1$ ,

$$\begin{aligned} R\left(\frac{t_1}{n_1}\right) / R\left(\frac{t}{n}\right) &= \prod_{i=r-m_0+1}^{m-m_0} (q^i + 1) \cdot \frac{\prod_{i=v-m+1}^{v-r} (q^{2i} - 1)}{\prod_{i=2v-2m+2}^{2v-2r+1} (q^i - (-1)^i)} \\ &= \prod_{i=1}^{m-r} \frac{q^{r-m_0+i} + 1}{q^{2v-2m+2i+1} + 1}. \end{aligned}$$

When  $2v - 2m + 2i + 1 > r - m_0 + i$ ,  $\frac{q^{r-m_0+i} + 1}{q^{2v-2m+2i+1} + 1} < 1$ .

That is, when  $2v + m_0 > 2m + r - 2$ ,  $\prod_{i=1}^{m-r} \frac{q^{r-m_0+i} + 1}{q^{2v-2m+2i+1} + 1} < 1$ , i.e.  $R(t_1/n_1) < R(t/n)$ .

Therefore when  $2v + m_0 > 2m + r - 2\delta$ ,  $R(t_\delta/n_\delta) < R(t/n)$ . □

**Theorem 4.4** *If  $2v + m_0 > 3m - 3$ , then  $R(t_\delta/n_\delta) < R(t_\delta^*/n_\delta^*)$ .*

*Proof* When  $\delta = 0$ ,

$$\begin{aligned} R\left(\frac{t_0}{n_0}\right) / R\left(\frac{t_0^*}{n_0^*}\right) &= \frac{\prod_{i=r-m_0+1}^{m-m_0} (q^i - 1)}{\prod_{i=r+1}^m (q^i - 1)} \cdot \frac{\prod_{i=2v-m-m_0+1}^{2v-r-m_0} (q^i - 1)}{\prod_{i=2v-2m+1}^{2v-2r} (q^i - (-1)^i)} \\ &= \prod_{i=1}^{m-r} \frac{q^{r-m_0+i} - 1}{q^{r+i} - 1} \cdot \prod_{i=1}^{m-r} \frac{(q^{2v-m-m_0+i} - 1)}{(q^{2v-2m+2i} + 1)(q^{2v-2m+2i} - 1)} \\ &< \prod_{i=1}^{m-r} \frac{(q^{2v-m-m_0+i} - 1)}{(q^{2v-2m+2i} + 1)(q^{2v-2m+2i} - 1)}. \end{aligned}$$

When  $m - m_0 - i - 1 < 2v - 2m + 2i - 1$ ,

$$\frac{(q^{2v-m-m_0+i} - 1)}{(q^{2v-2m+2i} + 1)(q^{2v-2m+2i} - 1)} < 1.$$

Therefore when  $2v + m_0 > 3m - 3$ ,

$$\prod_{i=1}^{m-r} \frac{(q^{2v-m-m_0+i} - 1)}{(q^{2v-2m+2i} + 1)(q^{2v-2m+2i} - 1)} < 1,$$

i.e.  $R(t_0/n_0) < R(t_0^*/n_0^*)$ .

When  $\delta = 1$ ,

$$\begin{aligned} R\left(\frac{t_1}{n_1}\right) / R\left(\frac{t_1^*}{n_1^*}\right) &= \frac{\prod_{i=r-m_0+1}^{m-m_0} (q^i - 1)}{\prod_{i=r+1}^m (q^i - 1)} \cdot \frac{\prod_{i=2v-m-m_0+2}^{2v-r-m_0+1} (q^i - 1)}{\prod_{i=2v-2m+2}^{2v-2r+1} (q^i - (-1)^i)} \\ &= \prod_{i=1}^{m-r} \frac{q^{r+m_0+i} - 1}{q^{r+i} - 1} \cdot \prod_{i=1}^{m-r} \frac{(q^{2v-m-m_0+1+i} - 1)}{(q^{2v-2m+2i} - 1)(q^{2v-2m+2i+1} + 1)} \\ &< \prod_{i=1}^{m-r} \frac{(q^{2v-m-m_0+1+i} - 1)}{(q^{2v-2m+2i} - 1)(q^{2v-2m+2i+1} + 1)}. \end{aligned}$$

When  $m - m_0 - i < 2v - 2m + 2i$ ,

$$\frac{(q^{2v-m-m_0+1+i} - 1)}{(q^{2v-2m+2i} - 1)(q^{2v-2m+2i+1} + 1)} < 1.$$

Therefore when  $2v + m_0 > 3m - 3$ ,

$$\prod_{i=1}^{m-r} \frac{(q^{2v-m-m_0+1+i} - 1)}{(q^{2v-2m+2i} - 1)(q^{2v-2m+2i+1} + 1)} < 1,$$

i.e.  $R(t_1/n_1) < R(t_1^*/n_1^*)$ . □

We do not find a general method to compare  $t_\delta/n_\delta$  with  $\overline{t}_\delta/\overline{n}_\delta$ . However We can show that  $R(t_\delta/n_\delta)$  is smaller than  $R(\overline{t}_\delta/\overline{n}_\delta)$  sometimes through an example.

*Example 4.5* When  $q = 3, m_0 = 3, r = 4, m = 7$  and  $v = 9, R(t_\delta/n_\delta) < R(\overline{t}_\delta/\overline{n}_\delta)$ .

$$R(t_\delta/n_\delta) = \frac{\prod_{i=2}^4 (q^{2i} - 1)}{\prod_{i=5+\delta}^{10+\delta} (q^i - (-1)^i)}.$$

When  $\delta = 0, R(\overline{t}_0/\overline{n}_0) = \frac{5}{8},$

$$R(t_0/n_0) = \frac{q^4 - 1}{(q^5 + 1)(q^7 + 1)(q^9 + 1)(q^{10} - 1)} = \frac{80}{244 \times 2188 \times 19684 \times 59048}.$$

Clearly  $R(t_0/n_0) < R(\overline{t}_0/\overline{n}_0)$ .

When  $\delta = 1,$

$$R(\overline{t}_1/\overline{n}_1) = \frac{5}{12},$$

$$R(t_1/n_1) = \frac{q^4 - 1}{(q^7 + 1)(q^9 + 1)(q^{10} - 1)(q^{11} + 1)} = \frac{80}{2188 \times 19684 \times 59048 \times 177148}.$$

Clearly  $R(t_1/n_1) < R(\overline{t}_1/\overline{n}_1)$ .

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