

Pooling designs with d -disjunct property and block weight $d + 1$

Chih-wen Weng (翁志文)

(with Yu-pei Huang and Wu, Hsin-Jung)

Department of Applied Mathematics, National Chiao Tung University, Taiwan

July 12, 2010

Definition

An incidence structure (P, \mathcal{B}) is called **d -disjunct** if any block in \mathcal{B} is not covered by the union of d other blocks.

Definition

An incidence structure (P, \mathcal{B}) is called **d -disjunct** if any block in \mathcal{B} is not covered by the union of d other blocks.

Assume $P = \{1, 2, \dots, v\}$, $\mathcal{B} = \{B_1, B_2, \dots, B_b\}$ and M is be the incidence matrix of (P, \mathcal{B}) , i.e.

$$M_{ij} = \begin{cases} 1, & i \in B_j; \\ 0, & i \notin B_j \end{cases}$$

for $1 \leq i \leq v$ and $0 \leq j \leq b$.

Definition

An incidence structure (P, \mathcal{B}) is called **d -disjunct** if any block in \mathcal{B} is not covered by the union of d other blocks.

Assume $P = \{1, 2, \dots, v\}$, $\mathcal{B} = \{B_1, B_2, \dots, B_b\}$ and M is be the incidence matrix of (P, \mathcal{B}) , i.e.

$$M_{ij} = \begin{cases} 1, & i \in B_j; \\ 0, & i \notin B_j \end{cases}$$

for $1 \leq i \leq v$ and $0 \leq j \leq b$.

The incidence matrix M of a d -disjunct incidence structure can be used in non-adaptive group testing programming, in which $v \ll b$ is preferred.

- ① Let M be a $v \times b$ incidence matrix of an incidence structure and set $F_2 = \{0, 1\}$. Define the **output function** $o_M : F_2^b \rightarrow F_2^v$ by

$$o_M(P) := M \star P = \bigcup_{P_i=1} M_i,$$

where \star is the matrix product by using Boolean sum to replace addition.

- ① Let M be a $v \times b$ incidence matrix of an incidence structure and set $F_2 = \{0, 1\}$. Define the **output function** $o_M : F_2^b \rightarrow F_2^v$ by

$$o_M(P) := M \star P = \bigcup_{P_i=1} M_i,$$

where \star is the matrix product by using Boolean sum to replace addition.

- ② If the incidence structure is d -disjunct, then $o_M \upharpoonright F_2^b(\leq d)$ is known to be injective, where $F_2^b(\leq d)$ is the set of binary vectors of length b and Hamming weight at most d .

- ① Let M be a $v \times b$ incidence matrix of an incidence structure and set $F_2 = \{0, 1\}$. Define the **output function** $o_M : F_2^b \rightarrow F_2^v$ by

$$o_M(P) := M \star P = \bigcup_{P_i=1} M_i,$$

where \star is the matrix product by using Boolean sum to replace addition.

- ② If the incidence structure is d -disjunct, then $o_M \upharpoonright F_2^b(\leq d)$ is known to be injective, where $F_2^b(\leq d)$ is the set of binary vectors of length b and Hamming weight at most d .
- ③ This means that for each element u in the image of o_M on $F_2^b(\leq d)$, we know which $P \in F_2^b$ to have $o_M(P) = u$.

- ① Let M be a $v \times b$ incidence matrix of an incidence structure and set $F_2 = \{0, 1\}$. Define the **output function** $o_M : F_2^b \rightarrow F_2^v$ by

$$o_M(P) := M \star P = \bigcup_{P_i=1} M_i,$$

where \star is the matrix product by using Boolean sum to replace addition.

- ② If the incidence structure is d -disjunct, then $o_M \upharpoonright F_2^b(\leq d)$ is known to be injective, where $F_2^b(\leq d)$ is the set of binary vectors of length b and Hamming weight at most d .
- ③ This means that for each element u in the image of o_M on $F_2^b(\leq d)$, we know which $P \in F_2^b$ to have $o_M(P) = u$.
- ④ In application, P is interpreted as the unknown infected subset $\{j \mid P_j = 1\}$ of a given set of b items, and u is interpreted as the sequence of test results. Then the injective property of o_M implies that the infected subset can be determined from the sequence of test results if the number of infected items is known in advance to be at most d .

Example

The following 4×6 binary matrix is used to detect the infected item in $\{1, 2, 3, 4, 5, 6\}$, if the infected item is known to be at most one in advance (but do not know which one):

$$\left(\begin{array}{c|cccccc} \text{Tests/Items} & 1 & 2 & \mathbf{3} & 4 & 5 & 6 & & o_M((0, 0, 1, 0, 0, 0)^T) \\ \hline \text{one} & 1 & 1 & 1 & 0 & 0 & 0 & \rightarrow & 1 \\ \text{Two} & 1 & 0 & 0 & 1 & 1 & 0 & \rightarrow & 0 \\ \text{Three} & 0 & 1 & 0 & 1 & 0 & 1 & \rightarrow & 0 \\ \text{Four} & 0 & 0 & 1 & 0 & 1 & 1 & \rightarrow & 1 \end{array} \right)$$

Example

The following 4×6 binary matrix is used to detect the infected item in $\{1, 2, 3, 4, 5, 6\}$, if the infected item is known to be at most one in advance (but do not know which one):

$$\left(\begin{array}{c|cccccc} \text{Tests/Items} & 1 & 2 & \mathbf{3} & 4 & 5 & 6 & & o_M((0, 0, 1, 0, 0, 0)^T) \\ \hline \text{one} & 1 & 1 & 1 & 0 & 0 & 0 & \rightarrow & 1 \\ \text{Two} & 1 & 0 & 0 & 1 & 1 & 0 & \rightarrow & 0 \\ \text{Three} & 0 & 1 & 0 & 1 & 0 & 1 & \rightarrow & 0 \\ \text{Four} & 0 & 0 & 1 & 0 & 1 & 1 & \rightarrow & 1 \end{array} \right)$$

If there are two infected items, the above 4×6 matrix does not work for detecting them. For example, both the infected sets $\{3, 4\}$ and $\{1, 6\}$ have the same output $(1, 1, 1, 1)^T$. So it is impossible to recover the infected set from the output $(1, 1, 1, 1)^T$.

Relation to t -design

Relation to t -design

Definition

An incidence structure (P, \mathcal{B}) is called a t - (v, k, λ) design if

- ① $|P| = v$,
- ② $|B| = k$ for and $B \in \mathcal{B}$, and
- ③ any t -subset of P is contained in exactly λ blocks in \mathcal{B} .

Remark

- ① A 2 - $(v, k, 1)$ design is $(k - 1)$ -disjunct since a block has k points and it intersects another block in at most one point, so $k - 1$ other blocks can cover at most $k - 1$ points of a block, leaving at least one point uncovered.
- ② If any point is incidence in at least two blocks, then any block in a d -disjunct matrix has size at least $d + 1$.
- ③ A d -disjunct incidence structure is called a pooling design.

First result

First result

Theorem

Let (P, \mathcal{B}) be a d -disjunct pooling design with constant block size $d + 1$, and define $v = |P|$ and $b = |\mathcal{B}|$. Then $b \leq \max\{v(v - 1)/d(d + 1), v - d\}$. Moreover if $v - d \leq v(v - 1)/d(d + 1)$, then the above upper bound of b is reached if and only if (P, \mathcal{B}) is a 2 - $(v, d + 1, 1)$ design.

First result

Theorem

Let (P, \mathcal{B}) be a d -disjunct pooling design with constant block size $d + 1$, and define $v = |P|$ and $b = |\mathcal{B}|$. Then $b \leq \max\{v(v - 1)/d(d + 1), v - d\}$. Moreover if $v - d \leq v(v - 1)/d(d + 1)$, then the above upper bound of b is reached if and only if (P, \mathcal{B}) is a 2 - $(v, d + 1, 1)$ design.

The $v \times b$ incidence matrix

$$M = \begin{pmatrix} I_b \\ J_d \end{pmatrix}$$

satisfies the equality $b = v - d$, where I_b is the $b \times b$ identity matrix and J_d is the $d \times d$ all 1's matrix.

The following example gives the equality in previous theorem for $d = q - 1$.

Example

$(2 - (q^2, q, 1)$ design) Let q be a prime power. The affine plane F_q^2 over F_q has q^2 points and $q^2 + q$ lines. Of course any line has q points and any two lines intersect at at most 1 point. Hence the points-lines incidence matrix is $v \times b$ d -disjunct with constant weight w , where $v = q^2$, $b = q^2 + q$ and $w = q = d + 1$ satisfy

$$b = q^2 + q = v(v - 1)/d(d + 1).$$

The following example gives the equality in previous theorem for $d = q - 1$.

Example

($2 - (q^2, q, 1)$ design) Let q be a prime power. The affine plane F_q^2 over F_q has q^2 points and $q^2 + q$ lines. Of course any line has q points and any two lines intersect at at most 1 point. Hence the points-lines incidence matrix is $v \times b$ d -disjunct with constant weight w , where $v = q^2$, $b = q^2 + q$ and $w = q = d + 1$ satisfy

$$b = q^2 + q = v(v - 1)/d(d + 1).$$

The first q which is not a prime power is when $q = 6 = d + 1$. In this case the equality does not hold by the Bruck-Ryser-Chowla Theorem. Then there is no 5-disjunct pooling design with 36 points, 42 blocks and constant block size 6. We will construct a 5-disjunct pooling design with 36 points, 37 blocks and constant block size 6.

Forward difference property

- 1 Let q be a prime power and $m \geq q$ be an integer.

Forward difference property

- 1 Let q be a prime power and $m \geq q$ be an integer.
- 2 Let $F_q := \{0, a^0, a^1, \dots, a^{q-2}\}$ denote the finite field of q elements, where a is a generator of the cyclic multiplication group $F_q^* := F_q - \{0\}$.

Forward difference property

- 1 Let q be a prime power and $m \geq q$ be an integer.
- 2 Let $F_q := \{0, a^0, a^1, \dots, a^{q-2}\}$ denote the finite field of q elements, where a is a generator of the cyclic multiplication group $F_q^* := F_q - \{0\}$.
- 3 Let $m \geq q$ be an integer. Let $\mathbb{Z}_m := \{0, 1, \dots, m-1\}$ be the addition group of integers modulo m . We use the order of integers to order the elements in \mathbb{Z}_m , e.g. $0 < 1$.

Forward difference property

- ① Let q be a prime power and $m \geq q$ be an integer.
- ② Let $F_q := \{0, a^0, a^1, \dots, a^{q-2}\}$ denote the finite field of q elements, where a is a generator of the cyclic multiplication group $F_q^* := F_q - \{0\}$.
- ③ Let $m \geq q$ be an integer. Let $\mathbb{Z}_m := \{0, 1, \dots, m-1\}$ be the addition group of integers modulo m . We use the order of integers to order the elements in \mathbb{Z}_m , e.g. $0 < 1$.
- ④ A subset $T \subseteq \mathbb{Z}_m \times F_q$ is said to have the **forward difference distinct property** in $\mathbb{Z}_m \times F_q$ if the **forward difference set**

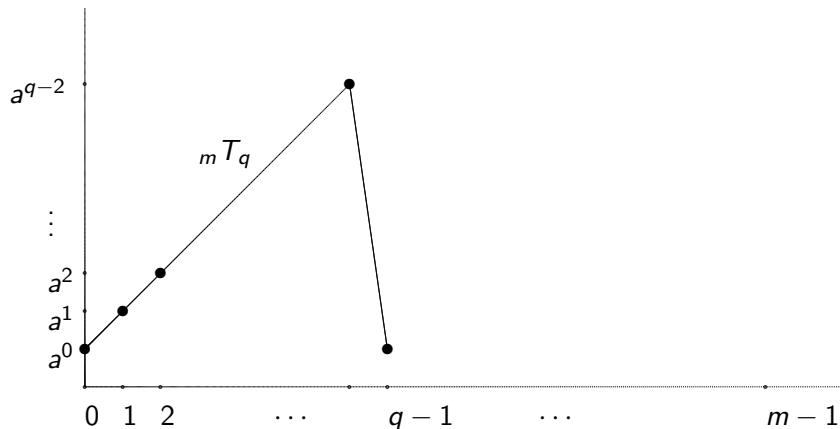
$$FD_T := \{(j, y) - (i, x) \mid (i, x), (j, y) \in T \text{ with } i < j\}$$

consists of $\frac{|T|(|T|-1)}{2}$ elements.

The Set ${}_m T_q$

Let ${}_m T_q \subseteq \mathbb{Z}_m \times F_q$ be defined by

$${}_m T_q = \{(i, a^i) \mid i \in \mathbb{Z}_m, 0 \leq i \leq q-1\}.$$



The Set ${}_5T_5$

For $q = 5$, $a = 2$,

$${}_5T_5 = \{(0, 1), (1, 2), (2, 4), (3, 3), (4, 1)\}$$

and

$$FD_{{}_5T_5} = \{ \begin{array}{l} (1, 1), (1, 2), (1, 4), (1, 3) \\ (2, 3), (2, 1), (2, 2) \\ (3, 2), (3, 4) \\ (4, 0) \end{array} \}.$$

The Set ${}_5T_5$

For $q = 5$, $a = 2$,

$${}_5T_5 = \{(0, 1), (1, 2), (2, 4), (3, 3), (4, 1)\}$$

and

$$FD_{{}_5T_5} = \{ \begin{array}{l} (1, 1), (1, 2), (1, 4), (1, 3) \\ (2, 3), (2, 1), (2, 2) \\ (3, 2), (3, 4) \\ (4, 0) \end{array} \}.$$

Since $|FD_{{}_5T_5}| = 10$, the set ${}_5T_5$ has the forward difference distinct property in $\mathbb{Z}_5 \times F_5$.

$_m T_q$ has the forward difference distinct property

Lemma

The set $_m T_q$ has the forward difference distinct property in $\mathbb{Z}_m \times T_q$.

Proof.

Given any pair $(c, d) \in \mathbb{Z}_m \times F_q$, solve the equations

$$(c, d) = (j, a^j) - (i, a^i)$$

for $0 \leq i < j \leq q - 1$. Note that $1 \leq c \leq q - 1$ to have a solution. If $c = q - 1$ then $j = q - 1$ and $i = 0$. If $c \neq q - 1$ then $a^i = d / (a^{j-i} - 1) = d / (a^c - 1)$ and $j = c + i$. In each case the pair $(i, a^i), (j, a^j)$ is unique determined by the element $(c, d) \in \mathbb{Z}_m \times F_q$. \square

Difference Property

A subset $T \subseteq \mathbb{Z}_m \times F_q$ is said to have the **difference distinct property** in $\mathbb{Z}_m \times F_q$ if the **difference set** $D_T := -FD_T \cup FD_T$ consists of $|T|(|T| - 1)$ elements.

Difference Property

A subset $T \subseteq \mathbb{Z}_m \times F_q$ is said to have the **difference distinct property** in $\mathbb{Z}_m \times F_q$ if the **difference set** $D_T := -FD_T \cup FD_T$ consists of $|T|(|T| - 1)$ elements.

Since ${}_m T_q$ intersects a vertical line in at most one point, we find $(0, x) \notin D_{{}_m T_q}$ for any $x \in F_q$.

Non-example ($m = q = 5$)

We have seen

$$FD_5 T_5 = \{ \begin{array}{l} (1, 1), (1, 2), (1, 4), (1, 3) \\ (2, 3), (2, 1), (2, 2) \\ (3, 2), (3, 4) \\ (4, 0) \end{array} \}.$$

Hence

$$-FD_5 T_5 = \{ \begin{array}{l} (4, 4), (4, 3), (4, 1), (4, 2) \\ (3, 2), (3, 4), (3, 3) \\ (2, 3), (2, 1) \\ (1, 0) \end{array} \}.$$

Since $|D_5 T_5| = 16 \neq 20$, the set ${}_5 T_5$ does not have the difference distinct property in $\mathbb{Z}_5 \times F_5$.

Example ($m - 1 = q = 5$)

$$FD_6 T_5 = \{ (1, 1), (1, 2), (1, 4), (1, 3) \\ (2, 3), (2, 1), (2, 2) \\ (3, 2), (3, 4) \\ (4, 0) \}.$$

Hence considering as the negative in $\mathbb{Z}_6 \times F_5$, we have

$$-FD_6 T_5 = \{ (5, 4), (5, 3), (5, 1), (5, 2) \\ (4, 2), (4, 4), (4, 3) \\ (3, 3), (3, 1) \\ (2, 0) \}.$$

Since $|D_6 T_5| = 20$ now, the set ${}_6 T_5$ has the difference distinct property in $\mathbb{Z}_6 \times F_5$.

$2q-1 T_q$ has the difference distinct property

Lemma

For $m \geq 2q - 1$, the set ${}_m T_q$ has the difference distinct property in $\mathbb{Z}_m \times T_q$.

Proof.

We have $|FD_{{}_m T_q}| = |-FD_{{}_m T_q}| = q(q-1)/2$. The first coordinate of an element in $FD_{{}_{2q-1} T_q}$ runs from 1 to $q-1$, and the first coordinate of an element in $-FD_{{}_{2q-1} T_q}$ from $m+1-q$ to $m-1$. The assumption $m \geq 2q-1$ implies $-FD_{{}_{2q-1} T_q} \cap FD_{{}_{2q-1} T_q} = \emptyset$. □

$2q-3 T_q$ has the difference distinct property

Lemma

The set ${}_m T_q$ has the difference distinct property for $m = 2q - 3$.

Proof.

We have $|FD_{T_{m,q}}| = |-FD_{T_{m,q}}| = q(q-1)/2$. Let $(c, d) \in FD_{T_{m,q}}$. If $m = 2q - 3$, then $1 \leq c \leq q - 1$ and $q - 2 \leq -c \leq 2q - 4$. Thus the repetition of differences occurs only when $c = q - 2$ or $c = q - 1$. Note that $d = 0$ iff $c = q - 1$, and $-d = 0$ iff $-c = q - 2$. For $c = q - 2$, suppose $(c', d') \in -FD_{T_{m,q}}$ and $(c', d') = (c, d)$. Then we have $c' = q - 2$ and $d' = 0$. Hence $d = 0$, a contradiction. Similarly for $c = q - 1$, we have $d = 0$ but $(q - 1, 0) \notin -FD_{T_{m,q}}$.



$2q-4 T_q$ has the difference distinct property

Lemma

The set ${}_m T_q$ has the difference distinct property for $m = 2q - 4$.

Proof.

Let $(c, d) \in FD_{T_{m,q}}$. Since $m = 2q - 4$, we have $1 \leq c \leq q - 1$ and $q - 3 \leq -c \leq 2q - 5$. Thus the repetition of differences occurs only when $c = q - 3, q - 2$ or $q - 1$. Note that $d = 0$ iff $c = q - 1$, and $-d = 0$ iff $-c = q - 3$. For $c = q - 1$ or $c = q - 3$, similar process as the above $m = 2q - 3$ case can be applied to get contradictions. For $c = q - 2$, $-c = q - 2$. Thus a repetition implies that there are $(q - 2, d_1), (q - 2, d_2) \in FD_{T_{m,q}}$ such that $d_1 = -d_2$. Note that the only two elements of $FD_{T_{m,q}}$ with the first coordinate $q - 2$ are $(q - 2, a^{q-2} - 1)$ and $(q - 2, a^{q-1} - a)$, where a is the generator chosen for F_q^* . So we have $a^{q-2} - 1 = -(a^{q-1} - a)$ and this implies $a = -1$, also a contradiction. \square

Lines with any two intersecting in at most a point

Proposition

Suppose that ${}_m T_q \subseteq \mathbb{Z}_m \times F_q$ has the difference distinct property in $\mathbb{Z}_m \times F_q$. Set $\mathcal{B} = \{u + {}_m T_q \mid u \in \mathbb{Z}_m \times F_q\}$. Then $|L \cap L'| \leq 1$ for any distinct $L, L' \in \mathcal{B}$.

Proof.

Routine. □

Lines with any two intersecting in at most a point

Proposition

Suppose that ${}_m T_q \subseteq \mathbb{Z}_m \times F_q$ has the difference distinct property in $\mathbb{Z}_m \times F_q$. Set $\mathcal{B} = \{u + {}_m T_q \mid u \in \mathbb{Z}_m \times F_q\}$. Then $|L \cap L'| \leq 1$ for any distinct $L, L' \in \mathcal{B}$.

Proof.

Routine. □

- ① Note that there are mq lines and mq points in $\mathbb{Z}_m \times F_q$, and a line has $q = |T|$ points with q different first coordinates.

Lines with any two intersecting in at most a point

Proposition

Suppose that ${}_m T_q \subseteq \mathbb{Z}_m \times F_q$ has the difference distinct property in $\mathbb{Z}_m \times F_q$. Set $\mathcal{B} = \{u + {}_m T_q \mid u \in \mathbb{Z}_m \times F_q\}$. Then $|L \cap L'| \leq 1$ for any distinct $L, L' \in \mathcal{B}$.

Proof.

Routine. □

- ① Note that there are mq lines and mq points in $\mathbb{Z}_m \times F_q$, and a line has $q = |T|$ points with q different first coordinates.
- ② Apparently more lines can be added to \mathcal{B} still having the conclusion of the above proposition, for example, adding vertical lines to \mathcal{B} .

Lines with any two intersecting in at most a point

Proposition

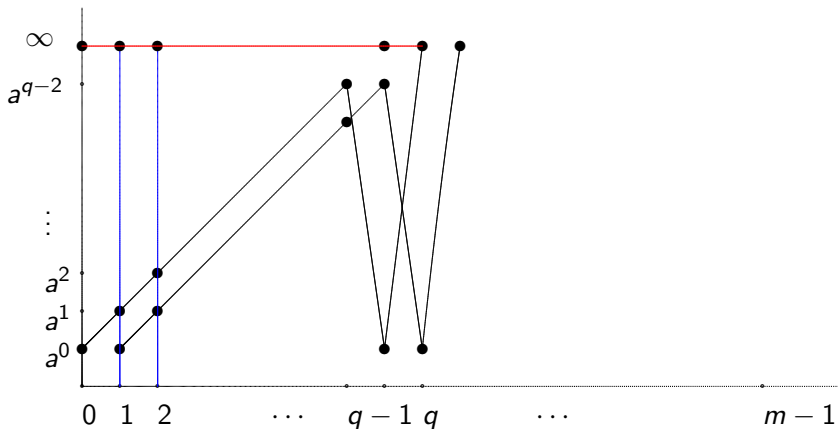
Suppose that ${}_m T_q \subseteq \mathbb{Z}_m \times F_q$ has the difference distinct property in $\mathbb{Z}_m \times F_q$. Set $\mathcal{B} = \{u + {}_m T_q \mid u \in \mathbb{Z}_m \times F_q\}$. Then $|L \cap L'| \leq 1$ for any distinct $L, L' \in \mathcal{B}$.

Proof.

Routine. □

- ① Note that there are mq lines and mq points in $\mathbb{Z}_m \times F_q$, and a line has $q = |T|$ points with q different first coordinates.
- ② Apparently more lines can be added to \mathcal{B} still having the conclusion of the above proposition, for example, adding vertical lines to \mathcal{B} .
- ③ We will add m more points to P , add $m + 1$ lines to \mathcal{B} , and add one more point to each original line in \mathcal{B} .

A picture for the final result



Lines in $Z_m \times (F_q \cup \{\infty\})$

Second and final result

Theorem

There exists a q -disjunct pooling design (P, \mathcal{B}) with $|P| = m(q + 1)$, $|\mathcal{B}| = m(q + 1) + 1$ and constant block weight $q + 1$, where q is a prime power, and m is an integer at least three satisfying $m = 2q - 4$, $m = 2q - 3$ or $m \geq 2q - 1$.

Second and final result

Theorem

There exists a q -disjunct pooling design (P, \mathcal{B}) with $|P| = m(q + 1)$, $|\mathcal{B}| = m(q + 1) + 1$ and constant block weight $q + 1$, where q is a prime power, and m is an integer at least three satisfying $m = 2q - 4$, $m = 2q - 3$ or $m \geq 2q - 1$.

By choosing $q = 5$ and $m = 2q - 4 = 6$, there exists a 5-disjunct pooling design with 36 points, 37 blocks and constant block size 6.

The end

Thank you for your attention.