# 國立交通大學應用數學系碩士論文 

The Weakly Cyclic Pairs of Linear Transformations

研究生：陳 宏 嘉
指導老師：翁 志 文 教 授

中 華 民國九十三年六月

# The Weakly Cyclic Pairs <br> of Linear Transformations 

研 究 生：陳 宏 嘉<br>Student：HungJia Chen<br>指導老師：翁志文教授 Advisor：Dr．Weng，Chih－Wen

## 國 立 交 通 大 學應用數學系 <br> 碩 士 論 文

## A Thesis

Submitted to Department of Applied Mathematics
College of Science
National Chiao Rung University in Partial Fulfillment of Requirement For the Degree of Master in
Applied Mathematics
June 2004
Hsinchu，Taiwan，Republic of China

中 華民國九十三年六月

## 一對廣義圈型線性變換的硏究

## 研 究 生：陳 宏 嘉 指導老師：翁 志 文 教 授

## 國立交通大學 <br> 應用數學系

## 摘要

令 $\mathbf{X}$ 是個方陣，當主對角線正下方及第一列最後一行的元素都非零，其他非對角線之元素皆爲零，我們稱 $\mathbf{X}$ 爲廣義圈型。在有限維的向量空間 $\mathbf{V}$ 中，如果兩線性變換 $\mathbf{A}: \mathbf{V} \rightarrow \mathbf{V}, ~ \mathbf{B}: \mathbf{V} \rightarrow \mathbf{V}$ 滿足下列條件（1），（2）則我們稱（A，B）爲一對廣義圈型線性變換，
（1） $\mathbf{V}$ 中存在一個基底可以使的 $\mathbf{A}$ 的矩陣表示為對角矩陣， $\mathbf{B}$ 的矩陣表示爲廣義圈型。
（2） $\mathbf{V}$ 中存在一個基底可以使的 $\mathbf{B}$ 的矩陣表示爲對角矩陣， $\mathbf{A}$ 的矩陣表示爲廣義圈型。

我們將會給一對廣義圈型線性變換存在的兩個必要條件。

## 中 華 民國九十三年六月

# The Weakly Cyclic Pairs <br> of Linear Transformations 

Student : HungJia Chen

Advisor : Dr. Chih-Wen Weng

Department of Applied Mathematics<br>National Chiao Tung University<br>Hsinchu 300, Taiwan, R.O.C.


#### Abstract

Let $\mathbf{X}$ be a square matrix. We say $\mathbf{X}$ is weak cyclic when each of the entries in the lower diagonal and in the last column of the lower diagonal are nonzero and all the other nondiagonal entries of $\mathbf{X}$ are zero. Let $\mathbf{V}$ denote a vector space over $\mathbf{C}$ with finite positive dimension. By a weakly cyclic pair on $\mathbf{V}$ we mean an ordered pair of linear transformations $\mathbf{A}: \mathbf{V} \rightarrow \mathbf{V}$ and $\mathbf{B}$ : $\mathbf{V} \rightarrow \mathbf{V}$ that satisfies conditions (i), (ii) below. (i). There exists a basis for $\mathbf{V}$ with respect to which the matrix representing $\mathbf{A}$ is diagonal and the matrix representing $\mathbf{B}$ is weakly cyclic. (ii). There exists a basis for $\mathbf{V}$ with respect to which the matrix representing $\mathbf{B}$ is diagonal and the matrix representing $\mathbf{A}$ is weakly cyclic. We give two necessary conditions among the eigenvalues and the coefficients in some representing matrix of a weak cyclic pair.


## 誌 謝

首先，我要感謝我的指導教授翁志文老師的悉心指導。在交大應數所的這兩年來，不管是課業論文上的問題，還是其他的煩惱，都能在翁志文老師的幇忙下順利的解決。也要感謝陳秋媛老師的關心與黃大原老師在課業上的熱心幫忙，也謝謝傅恆霖老師與黃光明老師的教導。

其次，謝謝同門的同學黃喻培跟李致維，有你們的幫忙，才能讓我的在這兩年裡順利畢業。也謝謝其他的同學們，一起打球，一起打電動，一起討論課業，開心快樂地度過這兩年，讓我在交大留下了美好的回憶。特別要感謝的是我大學時代的好友戴劍英跟陳柏全，我不會忘記你們在我要口試時幫我加油打氣以及其他許多讓我一生難忘的趣事。

最後，謝謝我的父母，支持我，陪伴我走過這充實的研究所生涯。

## Contents

Abstract (in Chinese) ..... i
Abstract (in English) ..... ii
Acknowledgement ..... iii
Contents ..... iv
List of Figures ..... v
1 Introduction ..... 1
2 Weakly Cyclic Pair ..... 2
3 Cyclic Pair ..... 9
References ..... 16

## 1 Introduction

The study of a pair of linear transformations with specified properties occurred in [1]-[18]. In [3], a pair of linear transformations called cyclic pair is given. We generalize the idea of cyclic pairs to weakly cyclic pairs. See Section 2 for formal definition.

We choose a nice basis such that the matrix forms of these two linear transformations are simplified. Theorem 2.5 is the result. In Theorem 2.6, we find two constraints on the entries of these two matrices. Together with previous result from [3], we can complete determine all the cyclic pairs. We also characterized the cyclic pair by their multiplication rules.

## 2 Weakly Cyclic Pair

Let $\mathbb{C}$ denote the field of complex numbers and let $\operatorname{Mat}_{d+1}(\mathbb{C})$ denote the set of $(d+1) \times(d+1)$ matrices over $\mathbb{C}$ with index set $\{0,1, \cdots, d\}$.

Definition 2.1. For $A \in \operatorname{Mat}_{d+1}(\mathbb{C})$, We say $A$ is weakly cyclic when each of the entries $A_{10}, A_{21}, \cdots, A_{d, d-1}, A_{0 d}$ is nonzero and all other nondiagonal entries of $A$ are zero.

Lemma 2.2. Let $A$ be a weakly cyclic matrix. The minimal polynomial of $A$ is the characteristic polynomial of $A$.

Proof. Using the nonzero coefficients $A_{10}, A_{21}, \ldots, A_{d, d-1}$, one can find for each $i(1 \leq i \leq d), A_{i 0}^{i} \neq 0$ and $A_{i 0}^{j}=0(1 \leq j<i)$. Hence $A^{i}$ is not in the span of $I, A, A^{2}, \ldots, A^{i-1}(1 \leq i \leq d)$. That implies $I, A, A^{2}, \ldots, A^{d}$ are linear independent. Since $A$ is a $(d+1) \times(d+1)$ matrix, the minimal polynomial of A has degree $d+1$.

Definition 2.3. Let $V$ denote a vector space over $\mathbb{C}$ with finite positive dimension. By a weakly cyclic pair on $V$ we mean an ordered pair of linear transformations $A: V \rightarrow V$ and $B: V \rightarrow V$ that satisfies conditions (i), (ii) below.
(i) There exists a basis for $V$ with respect to which the matrix representing $A$ is diagonal and the matrix representing $B$ is weakly cyclic.
(ii) There exists a basis for $V$ with respect to which the matrix representing $B$ is diagonal and the matrix representing $A$ is weakly cyclic.

Lemma 2.4. Let $(A, B)$ be a weakly cyclic pair on $V$. Then the eigenvalues of $A$ (resp. B) are distinct.

Proof. By the above lemma the minimal polynomial of $A$ is the characteristic polynomial of $A$ and by definition of weakly cyclic pair, $A$ is diagonalizable. So $A$ has distinct eigenvalues.

Theorem 2.5. Let $V$ denote a vector space over $\mathbb{C}$ with dimension $d+1$. Let $A: V \rightarrow V$ and $B: V \rightarrow V$ denote linear transformations. Then the following are equivalent.
(i) $(A, B)$ is a weakly cyclic pair on $V$.
(ii) There exists a basis $v_{0}, v_{1}, \ldots, v_{d}$ for $V$ with respect to which the matrices representing $A$ and $B$ have the following forms,

$$
A:\left[\begin{array}{cccccc}
a_{0} & 0 & 0 & \ldots & 0 & s \\
1 & a_{1} & 0 & \ldots & 0 & 0 \\
0 & 1 & a_{2} & \ldots & 0 & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
0 & 0 & 0 & \ldots & 1 & a_{d}
\end{array}\right], \quad B:\left[\begin{array}{ccccc}
\eta_{0} & 0 & 0 & \ldots & 0 \\
0 & \eta_{1} & 0 & \ldots & 0 \\
0 & 0 & \eta_{2} & \ldots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
0 & 0 & 0 & \ldots & \eta_{d}
\end{array}\right],
$$

and there exists a basis $w_{0}, w_{1}, \ldots, w_{d}$ for $V$ with respect to which the matrices representing $A$ and $B$ have the following forms,

$$
A:\left[\begin{array}{ccccc}
\theta_{0} & 0 & 0 & \ldots & 0 \\
0 & \theta_{1} & 0 & \ldots & 0 \\
0 & 0 & \theta_{2} & \ldots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
0 & 0 & 0 & \ldots & \theta_{d}
\end{array}\right], \quad B:\left[\begin{array}{cccccc}
b_{0} & 0 & 0 & \ldots & 0 & t \\
1 & b_{1} & 0 & \ldots & 0 & 0 \\
0 & 1 & b_{2} & \ldots & 0 & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
0 & 0 & 0 & \ldots & 1 & b_{d}
\end{array}\right],
$$

where $s, t \in \mathbb{C}$ are nonzero scalars, and $\theta_{i}$ are eigenvalues of $A$ and $\eta_{i}$ are eigenvalues of $B$ for $0 \leq i \leq d$.

Proof. (ii) $\rightarrow$ (i) This is clear. (i) $\rightarrow$ (ii) Suppose that $(A, B)$ is a weakly cyclic pair. Find a basis $u_{0}, u_{1}, \ldots, u_{d}$ such that the matrices representing $A$ and $B$ are as follows.

$$
A:\left[\begin{array}{cccccc}
a_{0} & 0 & 0 & \ldots & 0 & c_{0}  \tag{2.1}\\
c_{1} & a_{1} & 0 & \ldots & 0 & 0 \\
0 & c_{2} & a_{2} & \ldots & 0 & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
0 & 0 & 0 & \ldots & c_{d} & a_{d}
\end{array}\right], \quad B:\left[\begin{array}{ccccc}
\eta_{0} & 0 & 0 & \ldots & 0 \\
0 & \eta_{1} & 0 & \ldots & 0 \\
0 & 0 & \eta_{2} & \ldots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
0 & 0 & 0 & \ldots & \eta_{d}
\end{array}\right],
$$

where $c_{i}$ are not zero $(0 \leq i \leq d)$. So we know that

$$
\begin{equation*}
A u_{i}=a_{i} u_{i}+c_{i+1} u_{i+1} \quad(0 \leq i \leq d-1) \tag{2.2}
\end{equation*}
$$

and

$$
\begin{equation*}
A u_{d}=c_{0} u_{0}+a_{d} u_{d} . \tag{2.3}
\end{equation*}
$$

Set

$$
\begin{equation*}
v_{0}=u_{0} \tag{2.4}
\end{equation*}
$$

and

$$
\begin{equation*}
v_{i}=c_{1} \cdots c_{i} u_{i} \quad(1 \leq i \leq d) . \tag{2.5}
\end{equation*}
$$

So we have

$$
A v_{i}=a_{i} v_{i}+v_{i+1} \quad(0 \leq i \leq d-1)
$$

and

$$
A v_{d}=c_{0} c_{1} \cdots c_{d} u_{0}+a_{d} v_{d} .
$$

On the other hand,

$$
B v_{0}=B u_{0}=\eta_{0} u_{0}=\eta_{0} v_{0}
$$

and

$$
B v_{i}=c_{1} \cdots c_{i} B u_{i}=\eta_{i} c_{1} \cdots c_{i} u_{i}=\eta_{i} v_{i} \quad(1 \leq i \leq d) .
$$

Hence in the basis $v_{0}, \cdots, v_{d}$, the matrices representing $A, B$ as follows.

$$
A=\left[\begin{array}{cccccc}
a_{o} & 0 & 0 & \ldots & 0 & s \\
1 & a_{1} & 0 & \ldots & 0 & 0 \\
0 & 1 & a_{2} & \ldots & 0 & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
0 & 0 & 0 & \ldots & 1 & a_{d}
\end{array}\right], \quad B=\left[\begin{array}{ccccc}
\eta_{0} & 0 & 0 & \ldots & 0 \\
0 & \eta_{1} & 0 & \ldots & 0 \\
0 & 0 & \eta_{2} & \ldots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
0 & 0 & 0 & \ldots & \eta_{d}
\end{array}\right],
$$

where $s=c_{0} \cdots c_{d} \neq 0$. Similarly there exists a basis $w_{0}, w_{1}, \cdots, w_{d}$ of $V$ such that the matrix representing $A, B$ as follows

$$
A=\left[\begin{array}{ccccc}
\theta_{0} & 0 & 0 & \ldots & 0 \\
0 & \theta_{1} & 0 & \ldots & 0 \\
0 & 0 & \theta_{2} & \ldots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
0 & 0 & 0 & \ldots & \theta_{d}
\end{array}\right], \quad B=\left[\begin{array}{cccccc}
b_{0} & 0 & 0 & \ldots & 0 & t \\
1 & b_{1} & 0 & \ldots & 0 & 0 \\
0 & 1 & b_{2} & \ldots & 0 & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
0 & 0 & 0 & \ldots & 1 & b_{d}
\end{array}\right]
$$

for some nonzero $t \in \mathbb{C}$.

Theorem 2.6. As the notation in Theorem 2.5, suppose Theorem 2.5 (i)-
(ii) hold. Then

$$
\begin{gather*}
\left(\theta_{i}-a_{j+1}\right)\left(\eta_{j+1}-b_{i-1}\right)=\left(\eta_{j}-b_{i-1}\right)\left(\theta_{i-1}-a_{j+1}\right) \quad(1 \leq i \leq d, 0 \leq j \leq d-1) .  \tag{2.6}\\
\left(\theta_{j}-a_{i}\right)\left(\eta_{i+1}-b_{j}\right)=\left(\theta_{j-1}-a_{i}\right)\left(\eta_{i}-b_{j}\right) \quad(0 \leq i \leq d-1,1 \leq j \leq d) \tag{2.7}
\end{gather*}
$$

Proof. Let $v_{0}, v_{1}, \cdots, v_{d}$ and $w_{0}, w_{1}, \cdots, w_{d}$ be the two bases described in Theorem 2.5(ii). Suppose

$$
\begin{equation*}
w_{i}=\sum_{j=0}^{d} c_{i j} v_{j} \tag{2.8}
\end{equation*}
$$

for some $c_{i j} \in \mathbb{C}$. So we have

$$
\begin{equation*}
A w_{i}=\theta_{i} w_{i}=\sum_{j=0}^{d} c_{i j} \theta_{i} v_{j} \quad(0 \leq i \leq d) \tag{2.9}
\end{equation*}
$$

and

$$
\begin{align*}
A w_{i} & =\sum_{j=0}^{d} c_{i j} A v_{j}  \tag{2.10}\\
& =\sum_{j=0}^{d-1} c_{i j}\left(a_{j} v_{j}+v_{j+1}\right)+c_{i d}\left(s v_{0}+a_{d} v_{d}\right)  \tag{2.11}\\
& =\left(c_{i 0} a_{0}+c_{i d} s\right) v_{0}+\sum_{j=1}^{d}\left(c_{i j} a_{j}+c_{i}{ }_{j-1}\right) v_{j} \quad(0 \leq i \leq d) . \tag{2.12}
\end{align*}
$$

Comparing (2.9) - (2.12),

$$
\begin{array}{r}
c_{i j} \theta_{i}=c_{i j} a_{j}+c_{i} j-1 \quad(1 \leq j \leq d, 0 \leq i \leq d) \\
c_{i 0} \theta_{i}=c_{i 0} a_{0}+c_{i d} s \quad(0 \leq i \leq d)
\end{array}
$$

Hence

$$
\begin{equation*}
c_{i j}\left(\theta_{i}-a_{j}\right)=c_{i j-1} \quad(1 \leq j \leq d, 0 \leq i \leq d) \tag{2.13}
\end{equation*}
$$

$$
\begin{equation*}
c_{i 0}\left(\theta_{i}-a_{0}\right)=c_{i d} s \quad(0 \leq i \leq d) . \tag{2.14}
\end{equation*}
$$

Similarly

$$
\begin{equation*}
B w_{i}=\sum_{j=0}^{d} c_{i j} B v_{j}=\sum_{j=0}^{d} c_{i j} \eta_{j} v_{j} \quad(0 \leq i \leq d) \tag{2.15}
\end{equation*}
$$

and

$$
\begin{align*}
B w_{i} & =b_{i} w_{i}+w_{i+1}  \tag{2.16}\\
& =b_{i} \sum_{j=0}^{d} c_{i j} v_{j}+\sum_{j=0}^{d} c_{i+1} v_{j}  \tag{2.17}\\
& =\sum_{j=0}^{d}\left(b_{i} c_{i j}+c_{i+1}\right) v_{j} . \quad(0 \leq i \leq d-1),  \tag{2.18}\\
B w_{d} & =b_{d} w_{d}+t w_{d}=\sum_{j=0}^{d}\left(b_{d} c_{d j}+t c_{0 j}\right) v_{j} . \tag{2.19}
\end{align*}
$$

Comparing (2.15) - (2.19),

$$
\begin{array}{r}
c_{i j} \eta_{j}=b_{i} c_{i j}+c_{i+1} \quad(0 \leq i \leq d-1,0 \leq j \leq d) \\
c_{d j} \eta_{j}=b_{d} c_{d j}+c_{0 j} t \quad(0 \leq j \leq d)
\end{array}
$$

Thus

$$
\begin{equation*}
c_{i j}\left(\eta_{j}-b_{i}\right)=c_{i+1 j} \quad(0 \leq i \leq d-1,0 \leq j \leq d) \tag{2.20}
\end{equation*}
$$

By (2.13)(2.20)

$$
\begin{align*}
c_{i j} & =c_{i j+1}\left(\theta_{i}-a_{j+1}\right)  \tag{2.21}\\
& =c_{i-1}{ }_{j+1}\left(\theta_{i}-a_{j+1}\right)\left(\eta_{j+1}-b_{i-1}\right)(0 \leq j \leq d-1,1 \leq i \leq d) \\
c_{i j} & =c_{i-1 j}\left(\eta_{j}-b_{i-1}\right)  \tag{2.22}\\
& =c_{i-1}{ }_{j+1}\left(\eta_{j}-b_{i-1}\right)\left(\theta_{i-1}-a_{j+1}\right)(1 \leq i \leq d, 0 \leq j \leq d-1) .
\end{align*}
$$

Fix i $(0 \leq i \leq d)$. Observe $c_{i d} \neq 0$, otherwise $c_{i j}=0$ by (2.13) and then $w_{i}=0$ by (2.8). Observe $c_{i 0} \neq 0$, otherwise $c_{i d}=0$ by (2.14) and since $s \neq 0$. Hence $c_{i j} \neq 0$ by (2.13).

By above comments and by (2.21)-(2.22), we have for $1 \leq i \leq d, 0 \leq j \leq$ $d-1$,

$$
\begin{equation*}
\left(\theta_{i}-a_{j+1}\right)\left(\eta_{j+1}-b_{i-1}\right)=\left(\eta_{j}-b_{i-1}\right)\left(\theta_{i-1}-a_{j+1}\right) . \tag{2.23}
\end{equation*}
$$

By the same step with supposing

$$
\begin{equation*}
v_{i}=\sum_{j=0}^{d} d_{i j} w_{j} \tag{2.24}
\end{equation*}
$$

we have for $0 \leq i \leq d-1,1 \leq j \leq d$,

$$
\begin{equation*}
\left(\theta_{j}-a_{i}\right)\left(\eta_{i+1}-b_{j}\right)=\left(\theta_{j-1}-a_{i}\right)\left(\eta_{i}-b_{j}\right) \quad(0 \leq i \leq d-1,1 \leq j \leq d) \tag{2.25}
\end{equation*}
$$

## 3 Cyclic Pair

We consider a special case of weakly cyclic pair in this section.

Definition 3.1. Let $V$ denote a vector space over $\mathbb{C}$ with finite positive dimension. By a cyclic pair on $V$ we mean an ordered pair of linear transformations $A: V \rightarrow V$ and $B: V \rightarrow V$ that satisfy conditions (i), (ii) below.
(i) There exists a basis for $V$ with respect to which the matrix representing $A$ is diagonal and the matrix representing $B$ is cyclic.
(ii) There exists a basis for $V$ with respect to which the matrix representing $B$ is diagonal and the matrix representing $A$ is cyclic.

Lemma 3.2. Cyclic matrices are diagonalizable with nonzero eigenvalues.

Proof. For any cyclic matrix

$$
A=\left[\begin{array}{cccccc}
0 & 0 & 0 & \ldots & 0 & a_{0} \\
a_{1} & 0 & 0 & \ldots & 0 & 0 \\
0 & a_{2} & 0 & \ldots & 0 & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
0 & 0 & 0 & \ldots & a_{d} & 0
\end{array}\right],
$$

the characteristic polynomial of $A$ is

$$
\begin{equation*}
f(x)=x^{d+1}-\prod_{i=0}^{d} a_{i} \tag{3.1}
\end{equation*}
$$

Since $a_{1}, \cdots, a_{d}$ are not zeros, $f(x)$ has $d+1$ distinct roots. Hence $A$ has $d+1$ distinct eigenvalues. This implies $A$ is diagonalizable.

Lemma 3.3. Suppose

$$
A=\left[\begin{array}{cccccc}
0 & 0 & 0 & \ldots & 0 & \alpha \\
1 & 0 & 0 & \ldots & 0 & 0 \\
0 & 1 & 0 & \ldots & 0 & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
0 & 0 & 0 & \ldots & 1 & 0
\end{array}\right](\alpha \neq 0)
$$

and $\theta$ is an eigenvalue of $A$. Let $u$ be an eigenvector corresponding to $\theta$.
Then $\theta^{d+1}=\alpha$ and

$$
u=\left[\begin{array}{c}
u_{0} \\
u_{0} \theta^{-1} \\
u_{0} \theta^{-2} \\
\vdots \\
u_{0} \theta^{-d}
\end{array}\right]
$$

for some scalar $u_{0} \in \mathbb{C}$.
Proof. Suppose

$$
u=\left[\begin{array}{c}
u_{0} \\
u_{1} \\
u_{2} \\
\vdots \\
u_{d}
\end{array}\right]
$$

and $A u=\theta u$ for $u_{0}, u_{1}, \cdots, u_{d} \in \mathbb{C}$. Then

$$
A u=0\left[\begin{array}{c}
\alpha u_{d} \\
u_{0} \\
u_{1} \\
\vdots \\
u_{d-1}
\end{array}\right]=\theta\left[\begin{array}{c}
u_{0} \\
u_{1} \\
u_{2} \\
\vdots \\
u_{d}
\end{array}\right] .
$$

Hence $u_{i}=\theta u_{i+1}(0 \leq i \leq d-1)$ and $u_{d}=\frac{\theta}{\alpha} u_{0}$. Then $u_{0}=\theta^{d} u_{d}=\frac{\theta^{d+1}}{\alpha} u_{0}$. Note that $u_{0} \neq 0$ since $u \neq 0$ and $\theta \neq 0$. Hence $\theta^{d+1}=\alpha$ and $u_{i}=\theta^{-i} u_{0}$ $(0 \leq i \leq d)$.

Theorem 3.4. Let $V$ denote a vector space over $\mathbb{C}$ with dimension $d+1$. Let $A: V \rightarrow V$ and $B: V \rightarrow V$ denote linear transformations. Then the following (i)-(iii) are equivalent.
(i) $(A, B)$ is a cyclic pair on $V$.
(ii) There exists a basis $v_{0}, v_{1}, \ldots, v_{d}$ for $V$ with respect to which the matrices representing $A$ and $B$ have the following forms,

$$
A:\left[\begin{array}{cccccc}
0 & 0 & 0 & \ldots & 0 & \alpha \\
1 & 0 & 0 & \ldots & 0 & 0 \\
0 & 1 & 0 & \ldots & 0 & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
0 & 0 & 0 & \ldots & 1 & 0
\end{array}\right], \quad B:\left[\begin{array}{ccccc}
\beta & 0 & 0 & \ldots & 0 \\
0 & \beta q & 0 & \ldots & 0 \\
0 & 0 & \beta q^{2} & \ldots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
0 & 0 & 0 & \ldots & \beta q^{d}
\end{array}\right],
$$

where $\alpha, \beta \in \mathbb{C}$ are nonzero scalars and $q \in \mathbb{C}$ is a primitive root of unity of order $d+1$.
(iii) There exists two nonzero complex numbers $\alpha, \beta$ such that $A^{d+1}=\alpha I, B^{d+1}=$ $\beta^{d+1} I, B A=q A B$, where $q$ is a primitive root of unity of order $d+1$.

Proof. (i) $\rightarrow$ (ii) Suppose that $(A, B)$ is a cyclic pair. Find a basis $u_{0}, u_{1}, \ldots, u_{d}$ such that the matrices representing $A$ and $B$ are as follows.

$$
A:\left[\begin{array}{cccccc}
0 & 0 & 0 & \ldots & 0 & a_{0}  \tag{3.2}\\
a_{1} & 0 & 0 & \ldots & 0 & 0 \\
0 & a_{2} & 0 & \ldots & 0 & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
0 & 0 & 0 & \ldots & a_{d} & 0
\end{array}\right], \quad B:\left[\begin{array}{ccccc}
b_{0} & 0 & 0 & \ldots & 0 \\
0 & b_{1} & 0 & \ldots & 0 \\
0 & 0 & b_{2} & \ldots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
0 & 0 & 0 & \ldots & b_{d}
\end{array}\right] .
$$

So we know that

$$
\begin{equation*}
A u_{i}=a_{i+1} u_{i+1} \quad(0 \leq i \leq d-1) \tag{3.3}
\end{equation*}
$$

and

$$
\begin{equation*}
A u_{d}=a_{0} u_{0} . \tag{3.4}
\end{equation*}
$$

Set

$$
\begin{equation*}
v_{0}=u_{0} \tag{3.5}
\end{equation*}
$$

and

$$
\begin{equation*}
v_{i}=a_{1} \cdots a_{i} u_{i} \quad(1 \leq i \leq d) . \tag{3.6}
\end{equation*}
$$

So by (3.3)-(3.6),

$$
A v_{i}=v_{i+1} \quad(0 \leq i \leq d-1)
$$

and

$$
A v_{d}=a_{d} \cdots a_{1} a_{0} u_{0}
$$

On the other hand,

$$
B v_{0}=B u_{0}=b_{0} u_{0}=b_{0} v_{0}
$$

and

$$
B v_{i}=a_{1} \cdots a_{i} B u_{i}=b_{i} a_{1} \cdots a_{i} u_{i}=b_{i} v_{i} \quad(1 \leq i \leq d) .
$$

Hence in the basis $v_{0}, \cdots, v_{d}$, the matrices representing $A, B$ as follows,

$$
A=\left[\begin{array}{cccccc}
0 & 0 & 0 & \ldots & 0 & \alpha \\
1 & 0 & 0 & \ldots & 0 & 0 \\
0 & 1 & 0 & \ldots & 0 & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
0 & 0 & 0 & \ldots & 1 & 0
\end{array}\right], \quad B=\left[\begin{array}{ccccc}
b_{0} & 0 & 0 & \ldots & 0 \\
0 & b_{1} & 0 & \ldots & 0 \\
0 & 0 & b_{2} & \ldots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
0 & 0 & 0 & \ldots & b_{d}
\end{array}\right],
$$

where $\alpha=a_{0} \cdots a_{d}$. Similarly there exists a basis $w_{0}, w_{1}, \cdots, w_{d}$ of $V$, such that the matrix representing $A$ is diagonal and the matrix representing $B$ is

$$
\left[\begin{array}{cccccc}
0 & 0 & 0 & \ldots & 0 & \gamma  \tag{3.7}\\
1 & 0 & 0 & \ldots & 0 & 0 \\
0 & 1 & 0 & \ldots & 0 & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
0 & 0 & 0 & \ldots & 1 & 0
\end{array}\right],
$$

for some $\gamma \in \mathbb{C}$. Note that for each $i, w_{i}$ is an eigenvector of $A$. Let $\theta_{i}$ be the corresponding eigenvalue. Then by Lemma 3.3,

$$
\begin{equation*}
w_{i}=c_{i} \sum_{j=0}^{d}\left(\theta_{i}^{-1}\right)^{j} v_{j} \tag{3.8}
\end{equation*}
$$

for some scalar $c_{i} \in \mathbb{C}$. From (3.7), (3.8),

$$
\begin{equation*}
B w_{d}=\gamma w_{0}=\gamma c_{0} \sum_{j=0}^{d}\left(\theta_{0}^{-1}\right)^{j} v_{j} . \tag{3.9}
\end{equation*}
$$

On the other hand, by (3.2), (3.8),

$$
\begin{equation*}
B w_{d}=c_{d} \sum_{j=0}^{d}\left(\theta_{d}^{-1}\right)^{j} B v_{j}=c_{d} \sum_{j=0}^{d}\left(\theta_{d}^{-1}\right)^{j} b_{j} v_{j} . \tag{3.10}
\end{equation*}
$$

Comparing coefficients in (3.9)-(3.10),

$$
\begin{equation*}
b_{j}=\gamma \frac{c_{0}}{c_{d}}\left(\frac{\theta_{d}}{\theta_{0}}\right)^{j} . \tag{3.11}
\end{equation*}
$$

Note that $b_{0}, \cdots, b_{d}$ is a geometric sequence with common ratio $q=\frac{\theta_{d}}{\theta_{0}}$. Hence $b_{j}=\beta q^{j}$ where $\beta=b_{0}$. Observe $q^{d+1}=1$ by Lemma 3.3 and $q$ is primitive since $b_{0}, \cdots, b_{d}$ are distinct by Lemma 3.2.
$($ ii) $\rightarrow($ iii $)$ This is clear by direct computation.
(iii) $\rightarrow(i)$ Let $v \neq 0$ be an eigenvector to $B$ with corresponding eigenvalue $\theta$. Note that $\theta \neq 0$. Let $v_{i}=A^{i} v$. Suppose for some $c_{0}, \cdots, c_{d} \in \mathbb{C}$,

$$
\begin{equation*}
c_{0} v_{0}+c_{1} v_{1}+c_{2} v_{2}+\cdots+c_{d} v_{d}=0 \tag{3.12}
\end{equation*}
$$

Then

$$
\begin{aligned}
0 & =\sum_{i=0}^{d} c_{i} v_{i} \\
& =\sum_{i=0}^{d} c_{i} A^{i} v
\end{aligned}
$$

Applying $B$ and using the assumption $B A=q A B$, we obtain

$$
\begin{aligned}
0 & =B \sum_{i=0}^{d} c_{i} A^{i} v \\
& =\sum_{i=0}^{d} c_{i} q^{i} A^{i} B v \\
& =\left(\sum_{i=0}^{d} c_{i} q^{i} A^{i}\right) \theta v
\end{aligned}
$$

Hence

$$
\begin{equation*}
\sum_{i=0}^{d} c_{i} q^{i} A^{i}=0 \tag{3.13}
\end{equation*}
$$

Observe $x^{d+1}-\alpha$ is the minimal polynomial of $A$, since $\alpha \neq 0$. Hence $c_{0}=c_{1}=\cdots=c_{d}=0$. We have shown $v_{0}, \cdots, v_{d}$ is a basis of $V$. Observe $A v_{i}=v_{i+1}, i<d$, and $A v_{d}=A A^{d} v=\alpha I v=\alpha v_{0}$. On the other hand, $B v_{i}=B A^{i} v=q^{i} A^{i} B v=\theta q^{i} A^{i} v=\theta q^{i} v_{i}$. Hence with respect to the basis $v_{0}, \cdots, v_{d}$, the matrices representing $A, B$ has the following forms,

$$
A=\left[\begin{array}{cccccc}
0 & 0 & 0 & \ldots & 0 & \alpha \\
1 & 0 & 0 & \ldots & 0 & 0 \\
0 & 1 & 0 & \ldots & 0 & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\
0 & 0 & 0 & \ldots & 1 & 0
\end{array}\right], \quad B=\left[\begin{array}{ccccc}
\theta & 0 & 0 & \ldots & 0 \\
0 & \theta q & 0 & \ldots & 0 \\
0 & 0 & \theta q^{2} & \ldots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
0 & 0 & 0 & \ldots & \theta q^{d}
\end{array}\right]
$$

## References

[1] B. Curtin and H. Al-Najjar. Tridiagonal pairs of $q$-Serre type and shape.
[2] B. Curtin and H. Al-Najjar. Tridiagonal pairs of $q$-Serre type and the quantum affine enveloping algebra of $s l_{2}$. In preparation.
[3] Pan, Jheng-Lin. A Cyclic Pair of Linear Transformations. 2004.
[4] T. Ito, K. Tanabe, and P. Terwilliger. Some algebra related to $P$ - and $Q$-polynomial association schemes. In Codes and association schemes (Piscataway NJ, 1999), 167-192, DIMACS Ser. Discrete Math. Theoret. Comput. Sci. 56, Amer. Math. Soc., Providence RI, 2001.
[5] T. Ito and P. Terwilliger . the shape of a traditional pair. J. Pure Appl. Algebra, submitted.
[6] P. Terwilliger. Two linear transformations each tridiagonal with respect to an eigenbasis of the other. Linear Algebra Appl. 330 (2001), 149-203.
[7] P. Terwilliger. Two relations that generalize the $q$-Serre relations and the Dolan-Grady relations. In Physics and Combinatorics 1999 (Nagoya), 377-398, World Scientific Publishing, River Edge, NJ, 2001.
[8] P. Terwilliger. Leonard pairs from 24 points of view. Rocky Mountain J. Math. 32(2) (2002), 827-888.
[9] P. Terwilliger. Two linear transformations each tridiagonal with respect to an eigenbasis of the other; the TD-D and the LB-UB canonical form. J. Algebra. Submitted.
[10] P. Terwilliger. Introduction to Leonard pairs. OPSFA Rome 2001. J. Comput. Appl. Math. 153(2) (2003), 463-475.
[11] P. Terwilliger. Introduction to Leonard pairs and Leonard systems. (1109): 67-79, 1999. Algebraic combinatorics (Kyoto, 1999).
[12] P. Terwilliger. Two linear transformations each tridiagonal with respect to an eigenbasis of the other; comments on the split decomposition. Indag. Math. Submitted.
[13] p. Terwilliger. Two linear transformations each tridiagonal with respect to an eigenbasis of the other; comments on the parameter array. Geometric and Algebraic Combinatorics 2, Oisterwijk, The Netherlands 2002. Submitted.
[14] P. Terwilliger. Leonard pairs and the $q$-Racah polynomials. Linear Algebra Appl. Submitted.
[15] P. Terwilliger and R. Vidunas. Leonard Pairs and the Askey-Wilson relations. J. Algebra Appl. Submitted.
[16] P. Terwilliger. The subconstituent algebra of an association scheme. I, J. Algebraic combin. 1(1992), no. 4, 363-388.
[17] P. Terwilliger. The subconstituent algebra of an association scheme. II, J. Algebraic combin. 2(1993), no. 1, 73-103.
[18] P. Terwilliger. The subconstituent algebra of an association scheme. III, J. Algebraic combin. 2(1993), no. 2, 177-210.

